



Patents
3524/014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrew Hausman

Application No.: 09/584,045 Confirmation No. 9896

Filed: May 30, 2000

For: ELECTRONIC TRADING SYSTEM FOR ELECTRICITY
FORWARDS

Examiner: Sandra S. Snapp Group Art Unit: 3624

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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FOURTH INFORMATION DISCLOSURE STATEMENT GROUP 3600

Sir:

Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. Enclosed are an Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation List to Applicant's undersigned attorney.

Please charge the fee of \$180 required by 37 C.F.R. §§ 1.97(c) &1.17(p) to Deposit Account 02-4270. Please charge any additional fee required to continue prosecution of this application to Deposit Account 02-4270.

Respectfully submitted,

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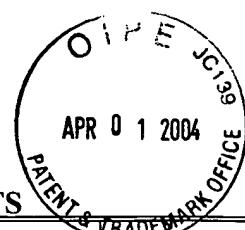


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INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 3524/14	Application No.: 09/584,045
	Applicants: Hausman	
	Filing Date: May 30, 2000	Group Art Unit: 2164

U.S. PATENT DOCUMENTS

Exam. Init	Document Number	Date	Name	Class	Subclass	Filing Date
	5,832,462	11/3/98	Midorikawa et al.			
	5,842,178	11/24/98	Giovannoli			
	5,924,083	7/13/99	Silverman et al.			
	6,260,025	7/10/01	Silverman et al.			
	6,282,521	8/28/01	Howorka			RECEIVED
	6,317,727	11/13/01	May			APR 06 2004
	6,343,278	1/29/02	Jain et al.			GROUP 200
	6,347,307	2/12/02	Sandhu et al.			
	6,421,653	7/16/02	May			
	2001/0037284	11/1/01	Finkelstein et al.			
	2002/0099651	7/25/02	May			
	2002/0082976	6/27/02	Howorka			
	2002/0099647	7/25/02	Howorka			
	2002/0107781	8/8/02	Neyman et al.			
	2002/0116314	8/22/02	Spencer et al.			
	2002/0116317	8/22/02	May			



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FOREIGN PATENT DOCUMENTS

Exam Init.	Document Number	Date	Country	Class	Translation	Filing Date
	WO 97/22072	6/19/97	PCT			
	WO 97/45802	12/4/97	PCT			
	WO 99/19821	4/22/99	PCT			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

	Dealing 200-2, Definitions and Rule Book, Version 6.2 (Reuters Limited, London) 1994
	Tafex: Transamerica Foreign Exchange, Tafex Systems Corporation (New York)
	Chicago Mercantile Exchange's Proposed Globex Trading System, Division of Trading and Markets, February 2, 1989

Examiner:

Date Considered:

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